## Mirage of Gradience in !Xoon vowel raising

Julian Bradfield and Shanti Ulfsbjorninn U. Edinburgh and Memorial U. Newfoundland



Basic word shape is monomorphemic and bimoraic  $C_1V_1(C_2)V_2$  stem, where  $C_1$  is an initial consonant and  $C_2$  a medial consonant, and most start with a click.



Basic word shape is monomorphemic and bimoraic  $C_1V_1(C_2)V_2$  stem, where  $C_1$  is an initial consonant and  $C_2$  a medial consonant, and most start with a click.

Affixes and function lexemes usually CV(C)(V) without clicks.



Basic word shape is monomorphemic and bimoraic  $C_1V_1(C_2)V_2$  stem, where  $C_1$  is an initial consonant and  $C_2$  a medial consonant, and most start with a click.

Affixes and function lexemes usually CV(C)(V) without clicks.

!Xoon is tonal – ignored today.

I'll use orthography rather than IPA – it's easier (for me) to read.

▶ five anterior places: 0, |, !, ||, ‡

Initial  $C_1$  include lots of clicks:

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h

#### Initial $C_1$ include lots of clicks:

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡

### Initial $C_1$ include lots of clicks:

- ▶ five anterior places: 0, |, !, ||, ‡
- voiceless/plain # or voiced g# orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n+, nh+ and pre-glottalized nasal 'n+
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

Non-clicks are  $\mathbf{p/b}$ ,  $\mathbf{t/d}$  [ $\underline{t}$ ],  $\mathbf{ts/dz}$  [ $\underline{ts}$ ], velar  $\mathbf{k/g}$  and uvular  $\mathbf{q/gq}$  places, in plain/aspirated/ejective manners; and glottal ' [?];

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

Non-clicks are p/b, t/d [t], ts/dz [ts], velar k/g and uvular q/gq places, in plain/aspirated/ejective manners; and glottal ' [?]; nasals m, n and preglottalized nasals 'm, 'n; fricatives f, s, x [ $\chi$ ], h.

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

Non-clicks are p/b, t/d [ $\underline{t}$ ], ts/dz [ $\underline{ts}$ ], velar k/g and uvular q/gq places, in plain/aspirated/ejective manners; and glottal ' [?]; nasals m, n and preglottalized nasals 'm, 'n; fricatives f, s, x [ $\chi$ ], h. t, d, ts, dz occur combined with x, qx'.

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

Non-clicks are p/b, t/d [t], ts/dz [ts], velar k/g and uvular q/gq places, in plain/aspirated/ejective manners; and glottal ' [?]; nasals m, n and preglottalized nasals 'm, 'n; fricatives f, s, x [ $\chi$ ], h. t, d, ts, dz occur combined with x, qx'.

Medial  $C_2$  are very simple: **b** [b/v], **w**, r/l, **y** [j], ny [n].

Initial  $C_1$  include lots of clicks:

- ▶ five anterior places: 0, |, !, ||, ‡
- ▶ voiceless/plain ‡ or voiced g‡ orthogonal to other settings
- ▶ laryngeal settings: ejective ‡', g‡', aspirated ‡h, g‡h
- nasal n‡, nh‡ and pre-glottalized nasal 'n‡
- 'clusters' +q, +q', +qh [+qh], +qx' [+qx'], +x [+χ], +hh [+h], +" [+?], and voiced versions.

Non-clicks are  $\mathbf{p/b}$ ,  $\mathbf{t/d}$  [ $\underline{t}$ ],  $\mathbf{ts/dz}$  [ $\underline{ts}$ ], velar  $\mathbf{k/g}$  and uvular  $\mathbf{q/gq}$  places, in plain/aspirated/ejective manners; and glottal ' [?]; nasals  $\mathbf{m}$ ,  $\mathbf{n}$  and preglottalized nasals ' $\mathbf{m}$ , ' $\mathbf{n}$ ; fricatives  $\mathbf{f}$ ,  $\mathbf{s}$ ,  $\mathbf{x}$  [ $\chi$ ],  $\mathbf{h}$ .

t, d, ts, dz occur combined with x, qx'.

Medial  $C_2$  are very simple: **b** [b/v], **w**, **r/l**, **y** [j], **ny** [n].

Final m [ $\dot{m}$ ], nn [ $\dot{n}$ ] best treated as  $V_2$ .

 $V_2$  are **a, e, i, o, u** and may be nasalized **n**.

 $V_2$  are **a**, **e**, **i**, **o**, **u** and may be nasalized **n**.

 $V_1 \dots$  that is the question!

Phonetically all over the chart.

 $V_2$  are **a**, **e**, **i**, **o**, **u** and may be nasalized **n**.

 $V_1 \dots$  that is the question!

Phonetically all over the chart.

Appears to assimilate to  $V_2$  – underspecified **A**, **O** ? Underlying **a**, **o** plus raising? Underlying **i**, **u** plus lowering?

 $V_2$  are **a**, **e**, **i**, **o**, **u** and may be nasalized **n**.

 $V_1 \dots$  that is the question!

Phonetically all over the chart.

Appears to assimilate to  $V_2$  – underspecified **A**, **O** ? Underlying **a**, **o** plus raising? Underlying **i**, **u** plus lowering?

Also,  $V_1$  may be breathy **ah**, glottalized **a'**, breathy glottalized **ah'**, pharyngealized **aq**, strident (epiglottalized) **aqh**, perhaps even **aq'**, **aqh'**.

All Khoisan languages have some form of:

'Back consonants (including clicks) are not followed by (phonological) front vowels'

But in !Xoon, some clicks are less back than others, and allow phonetically front vowels . . .

Or is  $V_1$  even specified for [back] ?

Traill assumed underlying **a**, with several degrees of phonetic raising (and concomitant fronting!).

Let  $C^+$  be |, + click (clusters) and coronal stops,  $C^-$  everything else. Raising never applies to aq.

- ▶ Full:  $\mathbf{a} \rightarrow [\mathbf{i}] / C_1^+ \mathbf{i}$ . E.g.  $\mathbf{\dagger} \mathbf{i} \mathbf{i}$  'steenbook' from  $\mathbf{\dagger} \mathbf{A} \mathbf{i}$ .
- ► Moderate:  $\mathbf{a} \rightarrow [3] / C_1^+ \{C_2\mathbf{i}, \mathbf{nn}\}$  E.g.  $\mathbf{\dagger} \hat{\mathbf{a}} \hat{\mathbf{b}} \hat{\mathbf{i}} [\mathbf{\dagger} \hat{\mathbf{s}} \hat{\mathbf{b}} \hat{\mathbf{i}}]$
- ▶ Slight:  $\mathbf{a} \to [\mathbf{æ}] / \mathsf{C}_1^- \mathbf{i}$

Traill assumed underlying **a**, with several degrees of phonetic raising (and concomitant fronting!).

Let  $C^+$  be |, + click (clusters) and coronal stops,  $C^-$  everything else. Raising never applies to aq.

- ▶ Full:  $\mathbf{a} \rightarrow [\mathbf{i}] / C_1^+ \mathbf{i}$ . E.g.  $\mathbf{\dagger} \mathbf{i} \mathbf{i}$  'steenbook' from  $\mathbf{\dagger} \mathbf{A} \mathbf{i}$ .
- ► Moderate:  $\mathbf{a} \rightarrow [3] / C_1^+ \{C_2\mathbf{i}, \mathbf{nn}\}$  E.g.  $\mathbf{\dagger} \hat{\mathbf{a}} \hat{\mathbf{b}} \hat{\mathbf{i}} [\mathbf{\dagger} \hat{\mathbf{s}} \hat{\mathbf{b}} \hat{\mathbf{i}}]$
- ► Slight:  $\mathbf{a} \to [\mathbf{æ}] / \mathsf{C}_1^- \mathbf{i}$

Similarly before [e]. But:

- ➤ Traill (1985) says uvular accompaniments (**‡q** etc.) block full raising;
- Lionnet (2018) observes counter-examples in Traill (1994);
- but some of these disappear in Traill (2018).
- ▶ Moderate raising after C<sup>−</sup> in Traill's audio data.

Situation in |Gui (Nakagawa 2010) similar but different.

Analyses 7.1/17

A-raising has had varying analyses:

- ► Traill (1985,1994): *SPE* descriptive rules
- ▶ Nakagawa (2010): underspecified V₁ filled by assimilation
- Bradfield (2014): extending Traill with 'concurrent phonemes'
- Lionnet (2018): gradient subfeatural gang effects ('teamwork')

Our objective today: no gang effects, no phonological gradience, just elements behaving normally!

Elements 8.1/17

We work in a Backley (2011) style framework (|A, I, U, H, L, ?|).

We'll sidestep the issue of elements for clickness: see Polgárdi (2014) and Bradfield (2018) for suggestions.

Key question is which place elements  $|A,\,I,\,U|$  occur in clicks; we adopt some of Bradfield's (2018) conclusions.

► All clicks have |U| (owing to the posterior closure).

- ▶ All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).

- ▶ All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶ 0, !, | have |A| (from the retracted tongue root).

- ▶ All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶ 0, !, | have |A| (from the retracted tongue root).
- **k** ... have |U|, **q** ... have |U.A|.

- ► All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶ 0, !, | have |A| (from the retracted tongue root).
- **▶ k** . . . have |U|, **q** . . . have |U.A|.
- dentals t, d, n, ... have |I|; postalveolars ts, ... have A; palatals ny, y have |I|.

- ▶ All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶ 0, !, | have |A| (from the retracted tongue root).
- **▶ k** . . . have |U|, **q** . . . have |U.A|.
- ▶ dentals **t**, **d**, **n**, ... have |I|; postalveolars **ts**, ... have **A**; palatals **ny**, **y** have  $|\underline{I}|$ .
- moraic nasal **nn** has |A.I|

- ► All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶  $\mathbf{O}$ ,  $\mathbf{I}$ ,  $\mathbf{I}$  have |A| (from the retracted tongue root).
- ightharpoonup **k** . . . have |U|, **q** . . . have |U.A|.
- ▶ dentals **t**, **d**, **n**, ... have |I|; postalveolars **ts**, ... have **A**; palatals **ny**, **y** have  $|\underline{I}|$ .
- moraic nasal **nn** has |A.I|
- complex consonants have two place nodes under a C slot (Polgárdi 2014):



- ▶ All clicks have |U| (owing to the posterior closure).
- ▶ |, ‡ have |I| (from the raised tongue front).
- ▶ 0, !, | have |A| (from the retracted tongue root).
- **▶ k** . . . have |U|, **q** . . . have |U.A|.
- ▶ dentals **t**, **d**, **n**, ... have |I|; postalveolars **ts**, ... have **A**; palatals **ny**, **y** have  $|\underline{I}|$ .
- moraic nasal **nn** has |A.I|
- complex consonants have two place nodes under a C slot (Polgárdi 2014):

Vowels are standard (i, e, a, o, u = |I, A.I, A, A.U, U|), with standard manners (n, ', h, q = |L, ?, H, A|).

Key ideas 10.1/17

▶ Backness Constraint formulated as 'if  $C_1$  has |U|, then  $V_1$  does not contain |I| (underlyingly)'.

Key ideas 10.2/17

Backness Constraint formulated as 'if C<sub>1</sub> has |U|, then V<sub>1</sub> does not contain |I| (underlyingly)'.

► Traill's reported [3] comes from *two* sources: either empty V-slot |.| or slot with |A.I|.

Key ideas 10.3/17

- ► Traill's reported [3] comes from *two* sources: either empty V-slot |.| or slot with |A.I|.
- ► The assimilation involves both |A| and |I|.

Key ideas 10.4/17

- ► Traill's reported [3] comes from *two* sources: either empty V-slot |.| or slot with |A.I|.
- ► The assimilation involves both |A| and |I|.
- ▶ A Plateau effect between  $C_1$  and  $C_2$  affecting  $V_1$ .

Key ideas 10.5/17

- ► Traill's reported [3] comes from *two* sources: either empty V-slot |.| or slot with |A.I|.
- ▶ The assimilation involves both |A| and |I|.
- ▶ A Plateau effect between  $C_1$  and  $C_2$  affecting  $V_1$ .
- V<sub>1</sub> a has floating |▲| needing to be licensed by |A| in C<sub>1</sub> or V<sub>2</sub> or #.

Key ideas 10.6/17

- ► Traill's reported [3] comes from *two* sources: either empty V-slot |.| or slot with |A.I|.
- ► The assimilation involves both |A| and |I|.
- ▶ A Plateau effect between  $C_1$  and  $C_2$  affecting  $V_1$ .
- V<sub>1</sub> a has floating |A| needing to be licensed by |A| in C<sub>1</sub> or V<sub>2</sub> or #.
- ▶ Hence, 'A-raising' is lack of |A|-expression and spreading of |I|.

Traill (1994) has examples such as  $\dagger \hat{a}i$  [ $\dagger ii$ ] 'steenbok' and  $\dagger \hat{a}\hat{e}n$  [ $\dagger e\tilde{e}$ ] 'jaw'. These look like:

(Note that pharyngealized **aq** has full |A|, e.g. **‡àqin-‡aqin** 'barking gecko' [ $‡a^{\tilde{1}}$ ].)

# The 'moderate raising' scenario (1)

Where  $C_2$  exists, |I|-spreading is blocked.

**‡ábi** [‡3bi] 'young steenbok':

empty V-slot realized as neutral vowel

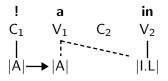
But if  $C_1$  and  $C_2$  have |I|, plateau effect links |I| to  $V_1$ , which optionally triggers linking of |A|.

thàya/thìa [t̪ʰɜja/t̪ʰia] 'work metal'

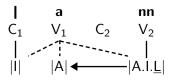
g‡àli [g‡3li] 'dwarf'

N.B. A plateau effect is not a 'gang' effect: two-sided spreading, not addition of values.

The  $C^-$  clicks, and all clicks with uvular accompaniments, have |A|, which licenses |A| in  $V_1$  – hence moderate raising in **!áîn** [! $\mathfrak{I}$ ] 'stick handle'



!Xoon has no final consonants: -nn is [n] in  $V_2$  position. Hence [an] [[an]] 'white bauhinia'



!Xoon A-raising is complex, but it can be analysed in ET with normal processes, without resorting to 'teamwork' or gradient phonology.

- V–V spreading
  - ▶ without A-licensing:  $/A/ \rightarrow [i] / C^+\_i$
  - ▶ with A-licensing:  $/A/\rightarrow$  [e]  $/C^+$ \_e
- ► C–C spreading + plateau  $/A/ \rightarrow [3 \sim i] / C^+ \_{Li, J}$
- ▶ V–V spreading with A-licensing  $/A/ \rightarrow$  [3  $\sim$  e] / C<sup>-</sup>\_{i, e}  $/A/ \rightarrow$  [3] / C<sup>+</sup>\_Bi
- C-blocking
- plateau

But . . .

Existing work is largely based on Traill (1994), with little audio data, and with just a few (or even one) examples for each context. There is now a large audio corpus of present-day West !Xoon – unfortunately Bible translations, so limited in vocabulary. It

muddies the water – in particular, no examples (so far) of full raising, except after the 'long consonants' !hh, !" [!h, !7]. Where

do I get six hours of !Xoon transcribed and annotated :-?